

**REPUBLIC OF KENYA**



**MINISTRY OF ENVIRONMENT AND MINERAL RESOURCES**

**REQUEST FOR TECHNICAL AND FINANCIAL PROPOSALS**

**NATIONAL CLIMATE CHANGE RESPONSE STRATEGY – ACTION PLAN**

**SUBCOMPONENT 3:  
IN RESPECT OF THE PROVISION OF CONSULTANCY SERVICES  
FOR DEVELOPMENT OF NATIONAL ADAPTATION PLAN**

**February 2011**

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## TERMS OF REFERENCE

### 1 Background

Climate change impacts threaten to adversely affect economic growth in Kenya, and endanger Kenya becoming a prosperous country with a high quality of life for all its citizens. The cumulative impacts of climate change over the next two or three decades have the potential to reverse much of the progress made towards the attainment of the Millennium Development Goals (MDGs) and Vision 2030.

Climate change poses a serious challenge to Kenya's social and economic development. This change will lead to major challenges in the economy, human life and on the environment. Kenya is most vulnerable to climate change since the key drivers of the economy (agriculture, livestock, tourism, forestry, and fisheries) are climate-sensitive. Coupled with the country's low adaptive capacity to climate change, the country experiences a high level of vulnerability.

In order to enhance investment that aims to reduce vulnerability and build resilience of the society, and in line with the provisions of the United Nations Framework Convention on Climate Change (UNFCCC) and its implementing instrument – the Kyoto Protocol, the Government of Kenya launched the National Climate Change Response Strategy (NCCRS).

The Government of Kenya with support from the Common Market for Eastern and Southern Africa (COMESA), Climate and Development Knowledge Network (CDKN), The UK Department for International Development (DFID), The French Development Agency (AFD) and other development partners is desirous of putting in place mechanisms to enhance the implementation of the NCCRS. In this regard, GoK intends to develop a comprehensive Climate Change Action Plan.

The Action Plan has eight subcomponents which are not only distinct but are also closely linked and interrelated. The subcomponents are:

- (i) **Subcomponent 1: Long-term National Low Carbon Development Pathway.** This is designed to facilitate reflection and/or mainstreaming of climate change aspects in the country's Vision 2030 and its Medium Term Plans (MTP). It also seeks to identify key elements of the country's low-carbon and climate resilient growth.
- (ii) **Subcomponent 2: Enabling Policy and Regulatory Framework.** This aims to review international, regional and national policy and legislative instruments relating to climate change with a view of developing a policy and /or legislative framework that promotes coherence, coordination and cooperative governance of climate change issues at the national and county levels.
- (iii) **Subcomponent 3: National Adaptation Plan.** Recognizing that adaptation is a priority, this sub-component aims to identify priority immediate, medium and long-term adaptation actions in order to develop a National Adaptation Plan.
- (iv) **Subcomponent 4: Nationally Appropriate Mitigations Actions (NAMAs).** On the understanding that NAMAs are to be undertaken in the context of sustainable development, this sub-component is designed to identify and prioritize NAMAs that need to be internationally supported and enabled through technology development and transfer, financing and capacity building. In addition, the protection of forests is essential for reducing emissions from deforestation, this sub component

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will also address reduced emissions from deforestation and forest degradation – plus (REDD+) readiness activities as well as opportunities presented by compliance and voluntary markets.

- (v) **Subcomponent 5: Research and Development and Technology Transfer.** It is widely recognized that technology development and transfer is essential to support adaptation and mitigation efforts. This subcomponent focuses on facilitating technology needs assessment with a view of developing a National Technology Plan that incorporates setting-up of technology innovation centres.
- (vi) **Subcomponent 6: National Performance and Benefit Measurement.** The target is to develop national climate change monitoring, reporting and verification guidelines and performance indicators.
- (vii) **Subcomponent 7: Knowledge Management and Capacity Development.** Information on climate is critical in informing the design of appropriate adaptation and mitigation actions, support planning and choice of strategies including assessment of risk and early warning systems. Capacity development of institutions involved in the planning and management of responses in vulnerable sectors is one of the most pressing climate change need in the country. This subcomponent will address issues relating to institutional and technical capacity strengths and needs of the various actors ranging from government, private sector, civil society and communities. It also encompasses education, training, public awareness and networking.
- (viii) **Subcomponent 8: Finance.** This subcomponent aims to position the country to access finances from the various sources by developing an innovative financial mechanism that includes a climate fund, investment strategy/framework and carbon trading platform. Also, identify tools and incentives that would enhance private sector investments in opportunities associated with climate change.

Each of these subcomponents will be undertaken as separate consultancies within the broad framework of developing a Coherent Comprehensive National Climate Change Action Plan **coordinated by the Ministry of Environment and Mineral Resources working in collaboration with the relevant line ministries.** In this regard, the Ministry of Environment and Mineral Resources which is mandated to coordinate overall climate change issues will be the lead agency supported by an Inter-ministerial Task Force comprising of Office of the Prime Minister, Ministry of Finance, Ministry of National Planning and Vision 2030, Ministry of Northern Kenya and ASALs, Ministry of Agriculture and Vision 2030 Delivery Secretariat. At the technical level, there will be 9 thematic working groups based on MTEF to provide the technical support to the process. Therefore, these terms of reference are in respect of **Subcomponent 3: National Adaptation Plan.**

## 2 Objectives of the Assignment

The main objective of the consultancy is to develop a National Adaptation Plan (NAP). The resultant NAP shall constitute the following:

- (i) Approaches for analyzing adaptation to climate change (including linkages with resilience and criteria for evaluating adaptation options).
- (ii) Adaptation to reduce vulnerability including priority sectors.
- (iii) Role of ecosystem services in supporting adaptation to climate change and building resilience to its impacts.
- (iv) Technologies for adaptation to climate change.

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- (v) Building institutional and human capacity to respond to climate change (including demand-driven knowledge management and mobilization).
  - (vi) Addressing the social impacts of climate change such as gender, migration and human health.
  - (vii) Adaptation and mitigation synergies.
  - (viii) Availability and accessibility of climate knowledge and information (including climate monitoring and early warning systems).
  - (ix) Performance indicators for adaptation actions.
  - (x) Strategic adaptation partnerships (including role of various actors at national and county levels).

### 3. Scope of Work

The consultancy will undertake the following tasks:

- (i) Review the national communications, technology needs assessment report and other relevant national planning documents including Vision 2030 with a view to identify priority adaptation actions.
- (ii) Review and document existing adaptation programmes and projects being implemented by different actors such as government, private sector, civil society organizations and local communities with a view to documenting experiences and up-scaling the lessons learnt as well as informing the second Medium Term Plan 2013-2017.
- (iii) Identify priority adaptation actions that reduce vulnerability and build resilience building on the findings from (i) and (ii) above including other impact, vulnerability and adaptation assessments.
- (iv) Establish the availability and access to localized climate data (both current and projected).
- (v) Identify the level of risk that different sectors face with regards to climate change.
- (vi) Clarify the role to be played by private sector in adapting to climate change.
- (vii) Develop performance indicators to assess the impacts of adaptation actions.
- (viii) Facilitate stakeholder consultative sessions constituted for purposes of discussing the National Adaptation Plan.

### 4 Expected Output

The expected output of this Consultancy is to develop a NAP. In particular the consultancy shall deliver on the following:

- (i) A report on priority adaptation actions that are being or to be implemented.
- (ii) The NAP (with proactive adaptation options that highlight the magnitude of the risk and identifying what actions are available and should be taken to respond to the risk and performance indicators).

### 5 Procurement and evaluation

The procurement process will be managed by the Climate & Development Knowledge Network (CDKN). Please refer to the Call for Expressions of Interest for details of the

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procurement and evaluation process. With respect to this specific subcomponent, the following criteria are likely to be important:

- Evidence of suitably-qualified and experienced team members – with relevant policy and technical expertise, preferably appropriate to the Kenyan context.
- Ability to commence and deliver the work in a timely manner.
- Ability to work closely with the Ministry of Environment and Mineral Resources team and other stakeholders to access information and produce outputs that meet their needs.
- Extent to which project is designed in a way that develops local institutional capacity in Kenya.
- Proficiency in English. Knowledge of Kiswahili would be an advantage.
- Value for money of the proposal.